



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/IL96/00161</p> <p>(22) International Filing Date: 24 November 1996 (24.11.96)</p> <p>(30) Priority Data:  116126                      24 November 1995 (24.11.95)      IL</p> <p>(71) Applicant (for all designated States except US): YEDA RESEARCH AND DEVELOPMENT CO. LTD. [IL/IL]; at the Weizmann Institute of Science, P.O. Box 95, 76100 Rehovot (IL).</p> <p>(72) Inventors; and  (75) Inventors/Applicants (for US only): SCHERZ, Avigdor [IL/IL]; 4 Tor Ha'aviv Street, 76329 Rehovot (IL). SALOMON, Yoram [IL/IL]; 43B Gordon Street, 76287 Rehovot (IL). SCHEER, Hugo [DE/DE]; Ortsstrasse 17, D-87662 Blonhofer (DE). HARTWICH, Gerhard [DE/DE]; Wolfgangstrasse 23, D-81667 München (DE). BRANDIS, Alexander [IL/IL]; 11/3 Be'eri Street, 76352 Rehovot (IL).</p> <p>(74) Agent: BEN-AMI, Paulina; Yeda Research and Development Co. Ltd., at the Weizmann Institute of Science, P.O. Box 95, 76100 Rehovot (IL).</p>		
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<p>(54) Title: SYNTHETIC METAL-SUBSTITUTED BACTERIOCHLOROPHYLL DERIVATIVES AND USE THEREOF</p> <p>(57) Abstract</p> <p>Metalated bacteriochlorophylls of the formula [M]-BChl, wherein M is a metal atom selected from divalent Pd, Co, Ni, Cu, Zn and Mn, trivalent Fe, Mn and Cr, and tetravalent Sn and Pt, and Bchl represents the residue of a demetalated natural or synthetic bacteriochlorophyll derivative, are prepared by transmetalation of the corresponding [Cd]-BChl derivatives carrying at position 17<sup>3</sup> a group COOR<sub>1</sub>, wherein R<sub>1</sub> is a C<sub>1</sub> - C<sub>25</sub> hydrocarbyl residue, and further optional transesterification of the 17<sup>3</sup>-COOR<sub>1</sub> of the obtained [M]-BChl. The compounds are for use in photodynamic therapy and diagnosis and for killing cells and infectious agents, e.g. bacteria and viruses, both in biological products and in living tissue. Preferred compounds are those of formula (I') wherein R'<sub>1</sub> is a residue selected from (i) optionally substituted hydrocarbyl; (ii) hydroxy-containing amino acid or peptide or a derivative thereof; and (iii) hydroxy-containing peptide or a cell-specific ligand, e.g. peptide or protein, linked to the COO-group via a spacer as defined in (i).</p>		
<p style="text-align: right;">( I' )</p>		

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5                    **SYNTHETIC METAL-SUBSTITUTED BACTERIOCHLOROPHYLL  
DERIVATIVES AND USE THEREOF**

**FIELD OF THE INVENTION**

10            The present invention relates to a new method of preparation of metalated bacteriochlorophyll derivatives for use in methods of *in vivo* photodynamic therapy (PDT) and diagnosis and *in vitro* photodynamic killing of viruses and microorganisms, and to some novel metal-substituted bacteriochlorophyll derivatives.

15            **DEFINITIONS AND ABBREVIATIONS**

**BChl** = bacteriochlorophyll a (the Mg-containing 7,8,17,18-tetrahydroporphyrin of the formula I hereinafter wherein M is Mg, R<sub>1</sub> is phytyl or geranylgeranyl, R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is H, R<sub>4</sub> at position 3 is acetyl and at position 8 is ethyl).

**BChl derivative** = a derivative of BChl with modifications in the macrocycle, the central metal atom and/or in the periphery, including the derivatives of formulas I, II, III and I', II', III' hereinafter.

**BPhe** = bacteriopheophytin a (BChl in which the central Mg is replaced by two H atoms).

**Chl** = chlorophyll (a Mg-containing 17,18-dihydroporphyrin derivative made of a macrocycle consisting of 4 pyrrole and one isocyclic ring that are conjugated to each other and linked to the atom of Mg). Chlorophyll a has the formula I hereinafter wherein R<sub>1</sub> is phytyl, R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is H, R<sub>4</sub> at position 3 is vinyl and at position 8 is ethyl.

**[M]-BChl** = BChl derivative in which the central Mg atom has been replaced by a metal M as defined hereinafter.

**PDT** = photodynamic therapy

**Phe** = pheophytin a (Chl in which the central Mg is replaced by two H atoms).

## **BACKGROUND OF THE INVENTION**

The Mg-containing (bacterio)chlorophylls ((B)Chl) and their free bases, the (bacterio)pheophytins ((B)Phe), are essential to photosynthesis. They act as antenna or redox pigments enabling light-induced charge separation within the reaction center. The pigments are also potentially useful photosensitizers, e.g. in photodynamic tumor therapy.

Porphyrins have been shown to accumulate in tumor tissue and, upon irradiation of the tumor tissue, to absorb light *in situ*, providing a mean to detect tumors by location of the fluorescence. A crude derivative of hematoporphyrin, known as hematoporphyrin derivative or HPD, has been proposed both for detection and for photodynamic therapy of tumors. A form of HPD said to be more effective comprises a portion of HPD having an aggregate weight over 10 Kda and is the subject of US Patent No. 4,649,151. HPD or its active components have been described in US Patent No. 4,753,958 for topical treatment of skin diseases. and in Matthews et al., 1988, for sterilization of biological samples containing infectious organisms such as bacteria and virus.

In order to optimize the performance of the porphyrin drugs in therapeutics and diagnostics, several porphyrin derivatives have been proposed in which, for example, there is a central metal atom complexed to the four pyrrole rings, and/or the peripheral substituents of the pyrrole rings are modified and/or the macrocycle is dihydrogenated to Chl derivatives (chlorins) or tetrahydrogenated to BChl derivatives (bacteriochlorins).

Complexes of cyclic tetrapyrroles with metals other than Mg were studied in the porphyrin and 17,18-dihydroporphyrin series to understand their spectroscopic and redox properties (Hynninen, 1991). Bacteriochlorophylls are of potential advantage compared to the chlorophylls because they show intense near-infrared bands, i.e. at considerably longer wavelengths than chlorophyll derivatives. However, little information is presently available on bacteriochlorophylls with central metals other than Mg.

PCT International Application Publication No. WO 90/12573 to Dougherty describes derivatives of bacteriochlorophyll-a or -b or of the corresponding bacteriochlorins devoid of the central metal atom or in which the central metal atom may be a non-paramagnetic metal selected from  $Mg^{2+}$ ,  $Sn^{2+}$  and  $Zn^{2+}$ , and the C-17<sup>3</sup>-carboxyl group is esterified with a saturated or unsaturated hydrocarbyl residue of 8-25C, for the manufacture of a composition for use in a method to effect the destruction or impairment

of undesired target biological substrates, which method comprises photosensitizing said substrate with an effective amount of said derivative, followed by irradiation of the target substrate with radiation in a wavelength band absorbed by said derivative for a time effective to impair or destroy the substrate. In addition, the compounds are said to be  
5 useful in photodynamic therapy and diagnostics. It is to be noted that although  $\text{Sn}^{2+}$  and  $\text{Zn}^{2+}$  complexes of bacteriochlorophyll-a or -b are claimed, these metal derivatives have not been exemplified nor was any method for their preparation described in the specification of said patent application WO 90/12573.

Losev et al, 1990, describe [Pd]-BChl and [Cu]-BChl complexes said to be  
10 prepared by direct metalation of BPhe with Pd benzonitrile in benzene in a stream of nitrogen or with a concentrated solution of  $\text{CuCl}_2$  in methanol, respectively. However, this publication lacks details of the method of preparation and characterization of the metal complexes. Moreover, the preparation of the [Pd]-BChl complex according to Losev could not be repeated by us.

15 Under normal delivery conditions, i.e. in the presence of oxygen at room temperature and under normal light conditions, the BChl moieties are labile and have somewhat lower quantum yields for triplet state formation, when compared with, e.g., hematoporphyrin derivative (HPD). However, their possible initiation of biological redox reactions, favorable spectral characteristics and their ready degradation *in vivo* result in the  
20 potential superiority of bacteriochlorophylls over other compounds, e.g. porphyrins and chlorophylls, for PDT therapy and diagnostics and for killing of cells, viruses and bacteria in samples and in living tissue. Chemical modification of bacteriochlorophylls is expected to further improve their properties, but this has been very limited due to lack of suitable methods for the preparation of such modified bacteriochlorophylls (Hynninen, 1991).

25 European Patent Application published under No. 0584552 of the same applicant of the present application describes new conjugates of Chl and BChl with amino acids, peptides and proteins for use in PDT therapy and diagnostics. The amino acid, peptide or protein residue is linked directly or via a spacer to the C-17<sup>3</sup>-carboxyl group of the Chl or BChl molecule. These conjugates are prepared by methods which are mild enough to  
30 retain the acid-labile central Mg atom. Zn and Cu complexes of chlorophyll a-17<sup>3</sup>-serine

methyl ester were also described therein, but no metalated bacteriochlorophyll nor a method for their preparation was described therein.

German Patent Application No. DE 4121876 describes bacteriochlorophyll derivatives in which modified esters at positions C-13<sup>2</sup> and C-17<sup>3</sup> are obtained under mild conditions by rapid alkaline transesterification, allowing further changes at the isocyclic ring while retaining the central Mg, by which the pigment absorption is shifted beyond 800 nm. The application also mentions metal complexes of said Bchl derivatives with Zn or Ni, but said complexes were not exemplified nor a method for their preparation was described therein.

It would be desirable to prepare new metalated complexes of BChl for use in PDT, in order to maintain or even improve the favorable optical and physiological properties of BChls while optimizing their photosensitizing potential as well as improving their chemical stability and optimizing their physiological lifetimes. Transmetalation results in distinct changes in the chemical reactivity and stability of the BChls, which are important for new modifications of the macrocycle and the peripheral substituents, and in particular for optimizing their transport, targeting and biological lifetime and minimizing toxic side effects. Transmetalation also results in distinct changes in the excited state properties, including triplet yield and lifetime, accessibility of higher excited states, and production of cytotoxic oxygen species.

Several methods are known for variation of the central metal atom in porphyrins (see Buchler, 1975). Porphyrins are readily accessible and chemically stable, yet spectrally and physiologically unfavorable.

Few methods are known for direct or indirect metalation of chlorophylls. Strell and Urumow, 1977, describe [Cr]-Chl and [Mn]-Chl complexes prepared by transmetalation of the [Cd]-Chl complex (obtained by reaction of the demetalated Chl derivative with cadmium acetate in methanol or pyridine) with the acetate of Cr<sup>++</sup> or Mn<sup>++</sup> in methanol under N<sub>2</sub> atmosphere. This transmetalation method is said to be suitable also for Cu, Zn, Co and Pb complexes of chlorophyll derivatives, but not for Fe<sup>3+</sup>, Ni and Mg. However, since the Cu, Zn, Co and Pb complexes can be prepared by direct metalation into Phe, the method would be advantageous only for Cr and Mn. The authors also describe preparation

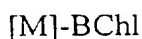
of the [Mg]-Chl complex by direct metalation of Phe in acetone with Mg acetate in dimethylsulfoxide.

Little information is presently available on bacteriochlorophylls with central metals other than Mg. Metalation of bacteriochlorophylls is known to be more difficult than that of chlorophylls due to their decreased reactivity for metalation and increased reactivity for side reactions. A specific method for insertion of Mg into bacteriopheophytin a has been described (Wasielewsky, 1977). The present inventors have tried the direct metalation and transmetalation procedures for chlorophyll derivatives described by Strell and Urumow for the preparation of metal complexes of bacteriochlorophyll derivatives, but all attempts were unsuccessful. The direct metalation of bacteriopheophytin derivatives did not work with any metal tried, except for Cu and Zn, and resulted otherwise in a mixture of unreacted bacteriopheophytin and metalated oxidation products of the 3-acetyl-chlorophyll a type.

## SUMMARY OF THE INVENTION

It has now been found in accordance with the present invention that metal complexes of bacteriochlorophyll derivatives can be obtained by a modification of the transmetalation process for metalation of chlorophyll derivatives published by Strell and Urumow, by using appropriate metal salts and solvents.

The present invention thus relates to a new process for the preparation of synthetic metalated bacteriochlorophyll derivatives of the formula:



wherein

BChl represents the residue of a demetalated natural or synthetic bacteriochlorophyll derivative carrying at position 17<sup>3</sup> a group -COOR<sub>1</sub> wherein R<sub>1</sub> is a C<sub>1</sub> - C<sub>25</sub> hydrocarbyl residue, and

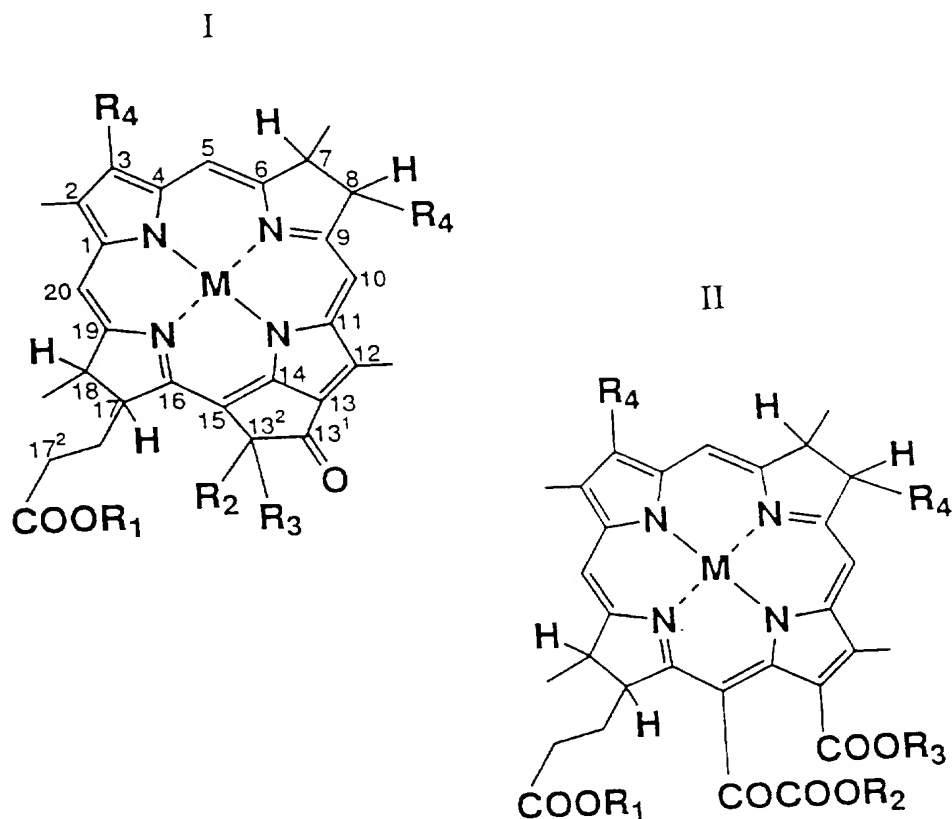
M represents a metal with an ionic radius smaller than that of Cd (r<sub>95</sub>pm), said metal M being selected from the group consisting of a divalent metal selected from the group consisting of Pd, Co, Ni, Cu, Zn and Mn, a trivalent metal selected from the group consisting of Fe, Mn and Cr, and a tetravalent metal selected from the group comprising Sn and Pt, which process comprises:

(i) reacting an appropriate bacteriopheophytin derivative carrying at position 17<sup>3</sup> a group -COOR<sub>1</sub> as defined above, dissolved in dimethyl formamide with dehydrated Cd acetate in Ar atmosphere and recovering the [Cd]-BChl complex from the reaction mixture by chromatography under reducing conditions;

(ii) dissolving the thus produced [Cd]-BChl complex dissolved in dry acetone with an appropriate dehydrated metal M salt selected from metal M chloride, acetate and acetyl-acetonate in Ar atmosphere; and

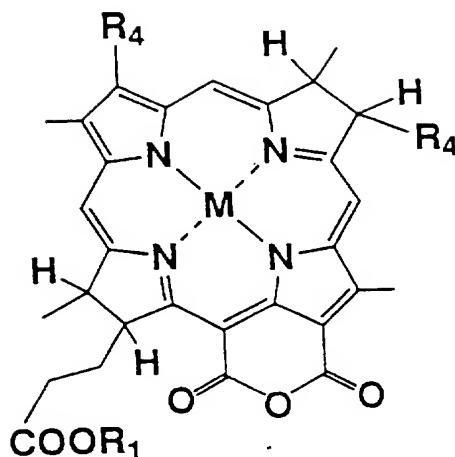
(iii) recovering the desired metalated [M]-BChl derivative from the reaction mixture.

In one embodiment, the process of the invention is applied to the preparation of metalated BChl derivatives of the formula I, II or III:





## III



wherein  $R_1$  is a  $C_1$ - $C_{25}$  hydrocarbyl residue;

$R_2$  is H, OH or  $COOR_5$ , wherein  $R_5$  is  $C_1$ - $C_{12}$  alkyl or  $C_3$ - $C_{12}$  cycloalkyl;

$R_3$  is H, OH or  $C_1$ - $C_{12}$  alkyl or alkoxy;

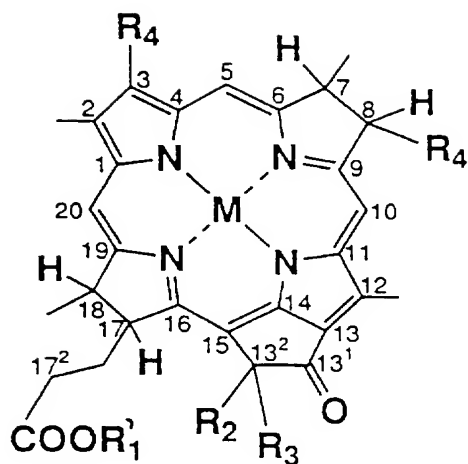
$R_4$  is each independently selected from the group consisting of vinyl, ethyl, acetyl,

1-hydroxyethyl and ethers and esters thereof; and

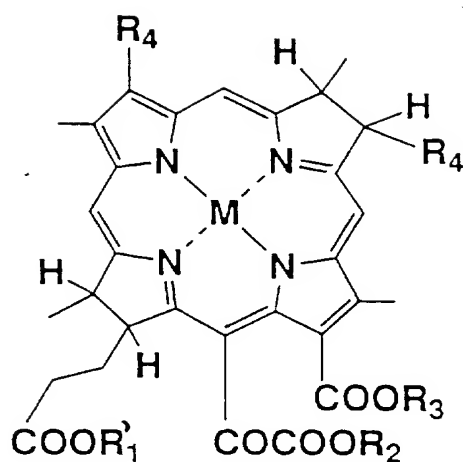
M represents a metal with an ionic radius smaller than that of Cd ( $r \approx 95 \text{ pm}$ ), said metal M being selected from the group consisting of a divalent metal selected from the group consisting of Pd, Co, Ni, Cu, Zn and Mn, a trivalent metal selected from the group consisting of Fe, Mn and Cr, and a tetravalent metal selected from the group comprising Sn and Pt.

From the above [M]-BChl derivatives of formulas I, II and III further derivatives can be obtained by transesterification at position 17<sup>3</sup> and thus, in another aspect, the invention relates to a process for the preparation of compounds of the formulas I', II' and III':

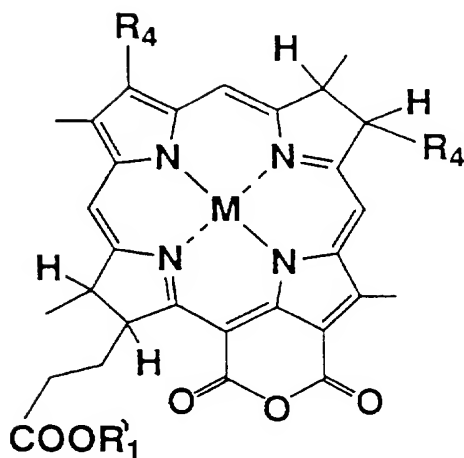
I'



II'



III'



wherein R'<sub>1</sub> is selected from the group consisting of:

(i) a C<sub>1</sub>-C<sub>25</sub> hydrocarbyl residue optionally substituted by halogen, oxo (=O), OH, CHO, COOH, or NH<sub>2</sub>, or such a residue interrupted by one or more heteroatoms selected from O, S and NH, or by a phenyl ring;

(ii) a residue of an amino acid or of a peptide containing a hydroxy group or a derivative thereof selected from the group consisting of esters and N-protected derivatives,

wherein said hydroxylated amino acid or derivative thereof is linked to the COO<sup>-</sup> residue through the hydroxy group;

(iii) a residue of a peptide as defined in (ii) linked to the COO<sup>-</sup> residue via a spacer as defined in (i) wherein said C<sub>1</sub>-C<sub>25</sub> saturated or unsaturated hydrocarbyl residue optionally substituted by halogen, oxo, OH, CHO, COOH, or NH<sub>2</sub>, or such a residue interrupted by one or more heteroatoms selected from O, S and NH, or by a phenyl ring, is further substituted by an end functional group selected from OH, COOH, or NH<sub>2</sub>; and

(iv) a residue of a cell-specific ligand selected from a peptide and a protein directly linked to the COO<sup>-</sup> residue or via a spacer as defined in (i) wherein said C<sub>1</sub>-C<sub>25</sub> saturated or unsaturated hydrocarbyl residue optionally substituted by halogen, oxo, OH, CHO, COOH, or NH<sub>2</sub>, or interrupted by one or more heteroatoms selected from O, S and NH, or by a phenyl ring, is further substituted by an end functional group selected from OH, COOH, or NH<sub>2</sub> ;

R<sub>2</sub> is H, OH or COOR<sub>5</sub>, wherein R<sub>5</sub> is C<sub>1</sub>-C<sub>12</sub> alkyl or C<sub>3</sub>-C<sub>12</sub> cycloalkyl;

R<sub>3</sub> is H, OH or C<sub>1</sub>-C<sub>12</sub> alkyl or alkoxy;

R<sub>4</sub> is each independently selected from the group consisting of vinyl, ethyl, acetyl, 1-hydroxyethyl and ethers and esters thereof; and

M represents a metal with an ionic radius smaller than that of Cd (r≈95pm), said metal M being selected from the group consisting of a divalent metal selected from the group consisting of Pd, Co, Ni, Cu, Zn and Mn, a trivalent metal selected from the group consisting of Fe, Mn and Cr, and a tetravalent metal selected from the group comprising Sn and Pt, which process comprises:

(i) reacting an appropriate bacteriopheophytin derived from a bacteriochlorophyll derivative of formula I, II or III carrying at position 17<sup>3</sup> a group -COOR<sub>1</sub> wherein R<sub>1</sub> is a C<sub>1</sub>-C<sub>25</sub> hydrocarbyl residue, dissolved in dimethyl formamide, with dehydrated Cd acetate in Ar atmosphere, and recovering the corresponding [Cd]-BChl complex from the reaction mixture by chromatography under reducing conditions;

(ii) reacting the thus produced [Cd]-BChl complex dissolved in dry acetone with an appropriate dehydrated metal M salt selected from metal M chloride, acetate and acetyl-acetate in Ar atmosphere; and

(iii) reacting the produced metalated [M]-BChl derivative recovered from the reaction mixture with a compound of the formula  $R'_1 - OH$ , under transesterification conditions, to obtain a compound of formula I', II' or III' wherein  $R'_1$  is as defined above.

In a preferred embodiment, the [M]-BChl derivative is a [M]-BChl derivative  
5 wherein  $R_1$  is phytyl or geranylgeranyl,  $R_2$  is  $COOCH_3$ ,  $R_3$  is H,  $R_4$  at position 3 is acetyl and at position 8 is ethyl and the metal M is Pd, Cu, Ni, Co, Zn and Mn. In another preferred embodiment, the metal M salt employed in step (ii) is a metal chloride.

In another further embodiment, steps (i) and (ii) can be combined into one single step, i.e. the bacteriopheophytin derivative is reacted with an excess of the appropriate  
10 dehydrated metal M salt, e.g. metal chloride, in the presence of catalytic amounts of the dehydrated Cd salt, e.g. Cd acetate, in dimethylformamide or acetone.

In another aspect, the present invention relates to new metalated bacteriochlorophyll derivatives of the formulas I', II' and III' as defined above, but excluding the compounds of formula I wherein  $R_2$  is  $COOCH_3$ ,  $R_3$  is H,  $R_4$  at position 3  
15 is acetyl and at position 8 is ethyl, and  $R_1$  is phytyl or ethyl and M is Pd or  $R_1$  is phytyl and M is Cu.

The new metalobacteriochlorophyll derivatives of the invention of the formulas I', II' and III' as defined above are for use as photosensitizers as therapeutic and diagnostic agents, and for killing cells, viruses and bacteria in samples and living tissues, as well  
20 known in the art for HPD and other photosensitizers.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 shows the phototoxicity of [Pd]-BChl-17<sup>3</sup>-seryl methyl ester ([Pd]-BChl-Ser) and BChl-17<sup>3</sup>-seryl methyl ester (BChl-Ser) on bacterial suspensions of *S. aureus*.

25 Fig. 2 shows the phototoxicity of [Pd]-BChl-Ser on M2R melanoma cells in culture by [<sup>3</sup>H]thymidine incorporation.

### **DETAILED DESCRIPTION OF THE INVENTION**

In contrast to porphyrins and chlorophylls, the direct metalation of  
30 bacteriochlorophylls is difficult. The method of the present invention allows the obtention

of metalated bacteriochlorophyll derivatives having improved properties for use as photosensitizers by transmetalation of the corresponding [Cd]-BChl derivatives.

According to the present invention, [Cd]-BChl complexes, that are readily accessible by the acetate/dimethylformamide method, can be transmetalated in excellent yield to the other metal complexes under mild conditions. The easy transmetalation using [Cd]-BChl as precursor is surprising and probably due in part to the large ionic radius ( $r_M$ ) of  $Cd^{2+}$  (95 pm) compared to  $Mg^{2+}$  ( $r_M=72$  pm). A second factor is the solvent (acetone) in combination with the metals' counter ions (chlorides) used for the reaction. During transmetalation,  $CdCl_2$  and [M]-BChl are formed in equilibrium with the educts, and the very low solubility of  $CdCl_2$  in acetone shifts the equilibrium to the side of the products.

In one embodiment of the present invention,  $R_1$  is any straight or branched, saturated or unsaturated, including aromatic, hydrocarbyl radical, preferably of 1-25 carbon atoms, such as alkyl, alkenyl, phenyl, preferably a lower alkyl of  $C_1$ - $C_4$  atoms, most preferably ethyl, or a radical derived from natural Bchl compounds, e.g. geranylgeranyl (2,6-dimethyl-2,6-octadienyl) or phytyl (2,6,10,14-tetramethylhexadec-14-en-16-yl); and  $R'_1$  is as defined for  $R_1$  or is such a hydrocarbon chain substituted by a halogen atom selected from F, Br, Cl and I, or by OH, oxo, CHO, COOH or  $NH_2$ , or such an optionally substituted hydrocarbyl chain interrupted by O, S or NH, preferably O, e.g.  $R'_1$  is an oligooxyethyleneglycol residue of 4 to 10 carbon atoms, preferably pentaoxyethyleneglycol. When  $R'_1$  serves as a spacer for a peptide or protein as defined herein, it will have an end functional group selected from OH, COOH and  $NH_2$ , through which end functional group the peptide or protein is linked by an ester or amide bond.

In another embodiment,  $R'_1$  is the residue of an amino acid or of a peptide containing a hydroxy group, such as serine, threonine and tyrosine, or peptides containing them, or a derivative of said amino acid or peptide selected from esters, e.g. alkyl esters, and N-protected derivatives wherein the N-protecting group is for example tert-butoxy, carbobenzoxy or trityl, and said hydroxylated amino acid or peptide or derivative thereof is linked to the COO- group through the hydroxy group. Examples of such amino acid derivatives are serine methyl ester, N-trityl-serine methyl ester, tyrosine methyl ester, and N-tert-butoxy-tyrosine methyl ester, and an example of such a peptide is N-carbobenzoxy-seryl serine methyl ester, all of them prepared as described in EP 0584552. In a most

preferred embodiment, the [M]-BChl derivative is [Pd]-BChl esterified with L-serine methyl ester.

In another embodiment,  $R'_1$  is the residue of a cell-specific ligand selected from peptides and proteins, which are exemplified by, but not limited to, hormone peptides, e.g. melanocyte-stimulating hormones (melanotropins), and antibodies, e.g. immunoglobulins and tumor-specific antibodies.

The [M]-BChl derivatives of the invention of the formula I' wherein M is Zn or Cu may be prepared also by direct metalation of the demetalated BChl derivative as described hereinafter in Examples 1 to 4.

Some of the metal complexes of bacteriochlorophylls are very stable and thus may be used for further modifications in the periphery of the tetrapyrrole ring system that involve strong conditions such as the use of acetic acid or of a strong mineral acid like hydrochloric or sulfuric acid. Thus, esters, e.g. optionally substituted alkyl or aryl esters, can be formed by reaction of hydroxy groups, for example at position  $3^1$  or  $13^2$ , with the corresponding aliphatic or aromatic acids, acid chlorides or amino acids, and ethers at the same positions are obtained by reaction with the corresponding aliphatic or aromatic alcohols. Compounds having a hydroxy group at position  $3^1$ , e.g. 3-hydroxyethyl-BChl derivatives, or at position  $13^2$ , e.g.  $13^2$ -OH-BChl derivatives, are available by standard procedures (see Struck et al., 1992, and Hinninen, 1991). In addition, the naturally-occurring phytyl and geranylgeranyl esters at position  $17^3$  can be transesterified by acid catalysis to other esters, e.g. to ethyl ester, by reaction with the corresponding alcohol. Other substituents can be introduced into the macrocycle ring by Wittig reaction of natural CO groups, such as 3-acetyl in BChl a, or chemically introduced ones like ketoalcohols esterified to C- $17^3$  as well as by oxidative coupling of OH groups to form ether linkages at C- $13^2$ , or by acid catalyzed esterification of OH groups, e.g. at C- $3^1$ , C- $13^1$ , C- $13^2$ , with carboxylic acids.

In an alternative, the modifications in the periphery of the tetrapyrrole ring system is carried out in the natural Mg-containing BChl derivative prior to demetalation.

The BChl derivatives of formulas II and III herein may be obtained from the corresponding naturally occurring BChl derivatives of formula I as described previously (Struck, 1990).

The compounds of the invention wherein R'<sub>1</sub> is a residue of an amino acid, a peptide or a protein, e.g. antibody, are prepared after the transmetalation procedure of the present invention, by enzymatic transesterification with the enzyme chlorophyllase or by catalytic condensation of the appropriate bacteriochlorophyllide (the free acid BCh-17<sup>3</sup>-COOH) with the hydroxylated amino acid, peptide or protein using dicyclohexylcarbodiimide (DCC) and N-hydroxysuccinimide (NHS) or 4-dimethylaminopyridine (DMAP) as described in EP 0584552, or by acid-catalyzed reactions not tolerated by Mg complexes like native BChl.

The new metalobacteriochlorophyll derivatives of the invention are for use as photosensitizers as therapeutic and diagnostic agents, and for killing cells, viruses and bacteria in samples and living tissues, as well known in the art for HPD and other photosensitizers. These compounds are useful, for example, in sensitizing neoplastic cells or other abnormal tissue to destruction by irradiation either *in vivo* or *ex vivo* using light of appropriate wavelength. It is believed that the energy of photoactivation is transferred to endogenous oxygen to convert it to singlet oxygen, which singlet oxygen is considered to be responsible for the cytotoxic effect. In addition, the photoactivated forms of the bacteriochlorophylls fluoresce, which fluorescence can aid in localizing tumors or other sites to which the metalated bacteriochlorophylls are administered.

Examples of indications, known in the art, that can be treated with the new metalobacteriochlorophyll derivatives of the invention, include destruction of tumor tissue in solid tumors, dissolution of plaques in blood vessels (see, e.g., US Patent No. 4,512,762),; treatment of topical conditions such as acne, athlete's foot, warts, papilloma, and psoriasis. and treatment of biological products (such as blood for transfusion) for infectious agents.

The metalobacteriochlorophyll derivatives of the present invention are formulated into final pharmaceutical compositions for administration to the patient or applied to an *in vitro* target using techniques well-known in the art, for example, as summarized in Remington's Pharmaceutical Sciences, Mack Publishing Co., Easton, Penna., latest edition. The compositions can be administered systemically, in particular by injection, or can be used topically.

For diagnosis, the metalobacteriochlorophyll derivatives may be used alone or may be labeled with a radioisotope or other detecting means as known in the art.

The amount of metalobacteriochlorophyll derivative to be administered will be according to the experience accumulated with other porphyrins used in PDT, e.g. and will vary depending on the choice of the derivative used as active ingredient, the condition to be treated, the mode of administration, the age and condition of the patient, and the judgement of the physician.

The wavelength of irradiating light is preferably chosen to match the maximum absorbance of the metalobacteriochlorophyll photosensitizer. The suitable wavelength for any of the compounds can readily be determined from its absorption spectrum.

In addition to *in vivo* use, the metalobacteriochlorophyll derivatives of the invention can be used in the treatment of materials *in vitro* to kill harmful viruses or infectious agents, such as harmful bacteria. For example, blood and blood plasma to be used for future transfusion can be treated with a compound of the invention and irradiated to effect sterilization.

The invention thus further relates to pharmaceutical compositions comprising the metalated bacteriochlorophyll derivatives of formulas I', II' and III' herein for photodynamic therapy and diagnosis of malignancies and for photodynamic killing of cells, bacteria and viruses.

For these purposes, the compositions will be prepared and administered by conventional methods, for example, as described in US Patents No. 4, 649, 151, No. 4, 753, 958, No. 5, 256, 840 and No. 5, 238, 940, European Patent Application No. 0584552 and PCT Application No. WO 90/12573, all of them incorporated herein by reference.

The invention will now be illustrated by the following non-limiting examples.

## **EXAMPLES**

In the Examples and Table 1 the starting compounds and the metal complexes obtained will be identified by the following numbers in bold:



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	1a - BPhe	1b - BPhe-13 <sup>2</sup> -OH
	2a - [Pd] - BChl	2b - [Pd] - BChl-13 <sup>2</sup> -OH
	3a - [Co] - BChl	3b - [Co] - BChl-13 <sup>2</sup> -OH
5	4a - [Ni] - BChl	4b - [Ni] - BChl-13 <sup>2</sup> -OH
	5a - [Cu] - BChl	5b - [Cu] - BChl-13 <sup>2</sup> -OH
	6a - [Zn] - BChl	6b - [Zn] - BChl-13 <sup>2</sup> -OH
	7a - BChl	7b - BChl-13 <sup>2</sup> -OH
	8a - [Cd] - BChl	8b - [Cd] - BChl-13 <sup>2</sup> -OH
10	9a - [Mn] - BChl	9b - [Mn] - BChl-13 <sup>2</sup> -OH

### Materials and Methods

(i) *Isolation of BChl.* BChl [compound 7a] was isolated from photosynthetic bacteria like *Rhodobacter (Rb) sphaeroides* or *Rhodospirillum rubrum* according to Scherz and Parson, 1984, Struck et al., 1992, or Svec, 1991. Purification was done on  
15 DEAE-Sepharose according to Omata and Murata, 1983.

(ii) *Preparation of 13<sup>2</sup>-hydroxybacteriochlorophyll a [BChl-13<sup>2</sup>-OH].* BChl-13<sup>2</sup>-OH [compound 7b], a compound of formula I wherein R<sub>1</sub> is phytyl, R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is OH, R<sub>4</sub> at position 3 is acetyl and at position 8 is ethyl, was prepared by hydroxylation of Bchl [7a] at position C-13<sup>2</sup> by storage of 7a in methanol for 5-7 days in the dark at 4°C  
20 (Struck and Scheer, 1990). Alternatively, the LiBr-procedure according to Schaber et al., 1984, was used, which resulted in less by-products. Purification was done in each case on preparative (20x20 cm<sup>2</sup>) silica-gel plates (Silica gel 60 H, Merck) or columns with toluene/acetone (9:1, v:v) as eluent. The greenish-blue band containing the title product (R<sub>f</sub>~0.4) was mechanically detached and unreacted Bchl a was extracted from SiO<sub>2</sub> with  
25 acetone.

(iii) *Demetalation of BChl and BChl-13<sup>2</sup>-OH.* BPhe [compound 1a] and BPhe-13<sup>2</sup>-OH [compound 1b] were obtained by demetalation of BChl [7a] and BChl-13<sup>2</sup>-OH [7b], respectively, according to Rosenbach-Belkin, 1988, with a small amount of acetic acid (the pigment is just dissolved). After demetalation, which occurs immediately, the acetic  
30 acid was removed by a stream of N<sub>2</sub>, and the BPhe and BPhe-13<sup>2</sup>-OH were recovered as solid products.

(iv) *Chlorophyllase (Chlase)*. Chlase acetone powder was prepared from *Melia azedarach* L. China tree leaves as described in EP 0584552.

(v) *Cell Culture*. The M2R mouse melanoma cells are cultured as monolayers in Dulbecco's modified Eagle's medium/F12 containing 25 mM HEPES pH 7.4, 10% fetal bovine serum, glutamine 2 mM, penicillin 0.06 mg/ml and streptomycin 0.1 mg/ml at 37°C in a humidified atmosphere of 8% CO<sub>2</sub> as previously described (Gerst et al., 1986).

(vi) *Cell photocytotoxicity studies*. The M2R mouse melanoma cells ( $1 \times 10^5$  cells/well) are cultured in 24 well microplates and grown for 24 h to about  $2 \times 10^5$  cells/well, approximately 70-80% confluency. The [M]-Bchl derivative is dissolved in culture medium and dispersed by sonication. Photosan-3 (commercially available HPD) is diluted to its final concentration in culture medium. The medium is replaced with serum-free medium and cells are incubated in the dark with the desired concentration of photosensitizers. Following 2 h of incubation the cells are irradiated at room temperature for 5 min from the bottom of the plate. The medium is replaced by serum containing medium and the culture plates are placed back in the incubator for 24 h. Cytotoxic efficiency in the cell culture is determined by (i) microscopic examination of cell morphology, (ii) fluorescence microscopy of cells following treatment with vital stain (propidium iodide [PID] [2,7-diamino-9-phenyl-10-(diethylaminopropyl)-phenathridinium iodide methiodide]), which selectively accumulates in nuclei of damaged cells, and (iii) [<sup>3</sup>H]thymidine incorporation as further described below. Control experiments include (1) untreated cells kept in the dark, (2) untreated cells illuminated, and (3) cells treated with the drug but kept in the dark.

(vii) *Light source*. The light source for irradiation is a home-built 250 W halogen lamp focused through a 10 cm water filter on a glass support and fitted with a liquid filter (chlorophyll a O.D.=10.00 at 660 nm). The light dose is adjusted to 45 m W/cm<sup>2</sup> in all cases.

(viii) [<sup>3</sup>H]thymidine incorporation. Twenty four hours after PDT, cell cultures are pulsed with 1 µCi/ml [<sup>3</sup>H]thymidine for 2 h at 37°C. Cultures are then washed twice with phosphate-buffered saline, treated with 7.5% cold trichloroacetic acid for 30 min at 4°C and washed twice with ethanol. Sodium hydroxide (1 N, 300 µl/well) is added and the plates were kept for 10 min at 37°C. Samples of 100 µl are transferred to scintillation

vials, neutralized with 100  $\mu$ l 1 N HCl and radioactivity was counted by liquid scintillation counting in 4 mL (20:8 [vol/vol]) xylene scintillator lumax mixture according to Chen et al., 1988.

5 **Example 1: Preparation of [Zn]-BChl and [Zn]-BChl-13<sup>2</sup>-OH by direct metalation.**

[Zn]-BChl [compound **6a**] and [Zn]-BChl-13<sup>2</sup>-OH [compound **6b**] were prepared by direct metalation of BPhe [**1a**] and BPhe-13<sup>2</sup>-OH [**1b**], respectively, by the acetate/acetic acid or acetate/dimethylformamide method.

**1a. Acetate/dimethylformamide (DMF) method**

10 [Zn]-BChl and [Zn]-BChl-13<sup>2</sup>-OH [**6a**, **6b**] were prepared by refluxing BPhe and BPhe-13<sup>2</sup>-OH (**1a**, **1b**), respectively, (~70  $\mu$ M) in DMF with a 1000-fold excess of anhydrous Zn(OAc)<sub>2</sub> for 60 (75) minutes at 110°C (reflux at 163°C decreases the reaction time to 5 minutes). The reaction was followed spectroscopically and ran to completion. Isolation and purification of products was done as for the Cd complexes **8a**, **8b** hereinafter  
15 (yield: ~80%).

**1b: Acetate/Acetic Acid method.**

[Zn]-BChl and [Zn]-BChl-13<sup>2</sup>-OH (**6a**, **6b**) were prepared by refluxing **1a**, **1b** or **7a**, **7b**, (~70  $\mu$ M) in glacial acetic acid, with a 250-fold excess of anhydrous Zn(OAc)<sub>2</sub> and sodium ascorbate 50 mM for 120 (30) minutes at 100°C. The acetic acid was then  
20 evaporated in a stream of N<sub>2</sub>, the Zn complex extracted with diethyl ether and purified on a preparative ModCol HPLC column (250 x 25.4 mm) packed with Bakerbond Silica NP (particle size 10  $\mu$ m; pore diameter 150). Compound **6a** was eluted isocratically (10 ml/min) of 2-propanol (5%), methanol (5%) and n-hexane (90%, v/v) with a retention time of about 17 min, with ~75% yield of the purified compound. Compound **6b** was purified  
25 by column chromatography on silica gel, using the same solvent mixture as for HPLC, giving a yield of 90-95%.

**Example 2: Preparation of [Zn]-BChl-3-vinyl and [Zn]-BChl-3-vinyl-13<sup>2</sup>-OH by direct metalation.**

30 Metalation by the acetate/DMF method as in Example 1a above can be extended to other derivatives of BPhe, when reaction conditions are slightly varied. For instance.

metalation of 3-vinyl-BPhe or 3-vinyl-13<sup>2</sup>-hydroxy-BPhe with Zn(OAc)<sub>2</sub> proceeds under identical conditions within ~40 minutes at 120°C.

**Example 3: Preparation of [Zn]-BChl-13<sup>2</sup>-decarbomethoxy by direct metalation.**

5        The Zn-complexes of 13<sup>2</sup>-decarbomethoxy-BPhe (or 13<sup>2</sup>-decarbomethoxy-BChl) are obtained under the same conditions described above in Example 1b. Reaction time is 30 minutes at 100°C; isolation and purification is identical to **6b**.

**Example 4: Preparation of [Cu]-BChl, [Cu]-BChl-13<sup>2</sup>-OH and [Cu]-BChl-13<sup>2</sup>-decarbomethoxy by direct metalation.**

10        [Cu]-BChl (**5a**) was prepared by refluxing **1a** or **7a**, (~70 μM) in glacial acetic acid, with a 250-fold excess of anhydrous Cu<sub>2</sub>O and sodium ascorbate (50 mM) for 15 minutes at 100°C. [Cu]-BChl-13<sup>2</sup>-OH (**5b**) was formed at ambient temperature by mixing **1b** or **7b**, (~70 μM) in glacial acetic acid, with a 250-fold excess of anhydrous Cu<sub>2</sub>O and  
15        sodium ascorbate 50 mM. The Cu-derivatives of 13<sup>2</sup>-decarbomethoxy-BPhe (or 13<sup>2</sup>-decarbomethoxy-BChl) was obtained at identical conditions as described for **5b**. In spite of using Cu<sub>2</sub>O, the Cu complexes were formed in all cases due to the presence of residual oxygen or disproportionation. Isolation and purification was done as described in Example 1b above for the Zn complexes prepared by the glacial acetic acid method, yielding ~75%  
20        (**5a**), ~90% (**5b**) and ~90% (Cu-derivative of 13<sup>2</sup>-decarbomethoxy-BChl), respectively.

**Example 5: Preparation of [Cd]-BChl by direct metalation of BPhe**

25        [Cd]-BChl was prepared by refluxing about 70 μM BPhe in dimethylformamide with a 300-fold excess of anhydrous Cd(OAc)<sub>2</sub> for 40 min. at 130°C. The reaction was followed spectroscopically and run to completion. The crude products isolated by partitioning between diethyl ether (DE) and NaHCO<sub>3</sub>-saturated water can be purified on silica gel under reducing conditions (1.5% sodium ascorbate admixed) with toluene/acetone/triethylamine (88/10/2 v/v/v) as eluent. The reaction and work-up are carried out under strict Ar protection. The blue band of pure [Cd]-BChl (R<sub>f</sub>~0.7) is  
30        mechanically detached and extracted with diethyl ether/water as described above for the crude product. The pure product was used in all transmetalation procedures described

below. Its spectral properties (compound **8a**) are presented in Table 1.

**Example 6: Preparation of [M]-BChl and [M]-BChl-13<sup>2</sup>-OH complexes of Pd, Co, Ni, Cu, Zn, Cd and Mn by transmetalation of [Cd]-BChl and [Cd]-BChl-13<sup>2</sup>-OH**

5 For the preparation of the [Pd]-BChl derivative (**2a**), [Cd]-BChl (**8a**) from Example 5 was dissolved in dry acetone ( $A_{770} = 5 \text{ cm}^{-1}$ ,  $\sim 50 \text{ } \mu\text{M}$ ) under strict Ar protection to prevent from uncontrolled oxidation at the positions C-7 and C-8. After about 15 min.  $\text{PdCl}_2$  (Merck, p.a.) was added ( $\sim 30 \text{ mg}/100 \text{ ml}$  solution) and the reaction mixture was refluxed for 40 min. The reaction can be followed spectroscopically (Qx-band shifts  
10 from  $\sim 590 \text{ nm}$  to  $\sim 530 \text{ nm}$  upon product formation). The essentially pure product was isolated by extraction with diethyl ether/water as described in Example 5 for [Cd]-BChl. If necessary, further purification is carried out on silica-gel plates as described for [Cd]-BChl. The spectral properties of Pd-BChl (**2a**) are characterized in Table 1.

In a similar way, [Pd]-BChl-13<sup>2</sup>-OH (**2b**) was prepared by transmetalation of  
15 [Cd]-BChl-13<sup>2</sup>-OH and the metal complexes of Co, Ni, Cu, Zn and Mn of Bchl (compounds **3a, 4a, 5a, 6a, 9a**) and of BChl-13<sup>2</sup>-OH (compounds **3b, 4b, 5b, 6b, 9b**) were prepared by reaction of [Cd]-BChl and BChl-13<sup>2</sup>-OH, respectively, with the corresponding metal chlorides. The anhydrous metal chlorides were added at a 10-fold molar excess (Cu: **5a, 5b**; Zn: **6a, 6b**), 100-fold molar excess (Co: **3a, 3b**), or to saturation as Pd (Ni: **3a, 3b**;  
20 Mn: **9a, 9b**). The reactions occurred practically instantaneously at 25°C, except for Pd and Ni (about 30-40 min reflux), and were followed spectroscopically. Small amounts of C7-C8 oxidized products ( $\lambda_{\text{max}} \sim 680 \text{ nm}$ ) were formed due to the presence of residual oxygen and can be suppressed by addition of sodium ascorbate (saturated). Isolation and purification of products was done as for [Cd]-BChl in Example 5 above. The products  
25 were characterized by absorption, fluorescence, <sup>1</sup>H-NMR and FAB-MS as shown in Table 1. UV/VIS absorption spectra were recorded on a Perkin Elmer Lambda 2 spectrophotometer, fluorescence emissions intensity on a Spex Fluorolog 221 equipped with a 450W Xenon-lamp and normalized to the sensitivity of the photomultiplier tube and excitation energy. Maximum optical densities for fluorescence measurements were  
30  $< 0.1 \text{ cm}^{-1}$  and excitation was into the Q<sub>x</sub>-absorption band of **1a, 1b** to **9a, 9b**. Circular dichroism spectra (CD) were recorded on a Dichrograph CD6 (Jobin Yvon). FAB-MS

were recorded on a CH7a/SS mas spectrometer (Varian MAT) or a Finigan MAT 9000 with a Cs-gun where liquid-surface ionisation was done in a matrix of m-hydroxy-benzyl alcohol. <sup>1</sup>H-NMR spectra were recorded on a 360 MHz-Bruker model AM360. Standard solvent was pyridine-d<sub>5</sub>, chemical shifts are in ppm against tetramethylsilane as internal standard. Extinction coefficients were determined by ICP/ICPMS-atom absorption spectra (AAS) of the central metals; before combustion, the solvent in samples of **1a,1b** to **9a,9b** with quantified optical densities, was first evaporated in quartz glass tubes and the samples then treated with concentrated nitric acid to allow complete release of the metal.

Table 1. Spectral Properties of 1a, 1b-9a, 9b<sup>a</sup>

compound Ion	Absorption <sup>b</sup> $\lambda_{\max}[\text{nm}](\epsilon[10^{-3}\text{M}^{-1}\text{cm}^{-1}])$				Emission <sup>c</sup> $\lambda_{\max}[\text{nm}]$	$\chi_{\text{M}}/\tau_{\text{i}}^{\text{d}}$	FAB-MS Molecular
	B <sub>Y</sub>	B <sub>X</sub>	Q <sub>X</sub>	Q <sub>Y</sub>			
1a (+) <sup>e</sup>	356 (113)	383 (62.7)	525 (28.3)	750 (67.5)	759		
	362 (92.3)	389 (49.3)	532 (26.2)	754 (56.4)			
2a (+)	331 (18.1)	383 (15.4)	529 (6.0)	753 (38.1)	764 (755)	[3.44]	992 ( <sup>106</sup> Pd)
	334 (14.0)	388 (11.5)	535 (5.6)	763 (25.5)			
3a (-)	336 (34.8)	388 (27.1)	531 (8.9)	766 (63.7)	- <sup>f</sup>	[3.21] <sup>g</sup>	945 ( <sup>59</sup> Co)
	355 (40.6)	386 (27.5)	562 (10.2)	767 (56.3)			
4a (-) <sup>h</sup>	335 (45.7)	390 (30.4)	531 (11.4)	779 (63.0)	- <sup>f</sup>	[3.18]	944 ( <sup>58</sup> Ni)
	366 (49.2)	391 (30.3)	598 (16.1)	771 (71.8)			
5a (-)	342 (53.3)	390 (42.9)	538 (14.5)	771 (64.1)	- <sup>f</sup>	[3.06]	949 ( <sup>63</sup> Cu)
	358 (44.7)	395 (31.9)	573 (12.2)	780 (56.1)			
6a (+)	353 (58.9)	389 (39.7)	558 (18.0)	762 (67.7)	782 (772)	[2.48]	950 ( <sup>64</sup> Zn)
	364 (52.4)	390 (31.7)	579 (16.5)	773 (57.1)			
7a (+)	357 (73.3)	390 (48.0)	573 (20.8)	771 (91.0)	788 (778)	1.82	910 ( <sup>24</sup> Mg)
	374 (57.7)	not resolved	612 (16.9)	781 (76.0)			
8a (+)	359 (80.3)	389 (53.5)	575 (22.3)	761 (88.3)	778 (774)	1.78	1000 ( <sup>114</sup> Cd)
	386 (65.6)	391 (44.1)	593 (19.4)	773 (69.6)			
9a (-)	362 (71.8)	392 (43.0)	587 (18.0)	770 (76.7)	- <sup>f</sup>	1.89	941 ( <sup>55</sup> Mn)
	373 (64.4)	not resolved	601 (16.4)	780 (66.0)			

<sup>a</sup> The absorption and fluorescence spectra of the 13<sup>2</sup>-OH pigments (1b - 9b) were superimposable to those of the respective 13<sup>2</sup>-H parent compounds, except for a systematic blue-shift of the Q<sub>X</sub> absorption (530-600 nm range) by ~ 5nm. The mass spectra were always shifted by 16 mass units to higher values. All wavelengths are in [nm]. <sup>b</sup> Absorption and extinction coefficients (by AAS) at 298 K in DE (upper line) and pyridine (lower line, *italics*). <sup>c</sup> Fluorescence in DE/petroleum ether/isopropanol (5:5:2; v/v/v) at 298K (77K). <sup>d</sup> Electronegativity ( $\chi_M$ ) and effective ionic radii ( $r_M$  in 10<sup>-14</sup>m) for sixfold coordination (data in square brackets use radii for fourfold coordination) from Buchler, 1975. <sup>e</sup> <sup>1</sup>H-NMR in pyridine-d<sub>5</sub>; (+): sharp signals, (-): extensive line broadening due to paramagnetic central metal. <sup>f</sup> Not fluorescent (Spex fluorolog 221). <sup>h</sup> Sharp <sup>1</sup>H-NMR signals in C<sup>2</sup>H<sub>3</sub>CN.

#### Example 7: Transesterification of [Pd]-BChl and peripherally-modified BChls to the 17<sup>3</sup>-ethyl ester

For the preparation of Pd-Bacteriopheophorbide a ethylester, [Pd]-BChl was dissolved in chloroform (1 mg/ml) and an identical volume of ethanol containing 5% H<sub>2</sub>SO<sub>4</sub> v/v was added. The mixture was refluxed in an Ar-atmosphere for 90 min. Then the [Pd]-BPhe (100 mg) was transesterified in 50 ml sulfuric acid in ethanol/chloroform (1:1 / v:v) by refluxing under Ar for 2.5 hours. Then the reaction mixture was diluted with ether, washed several times with 10% aqueous sodium bicarbonate solution. Subsequently, the organic phase was dried and evaporated. By preparative TLC under nitrogen on silica gel, eluting with 8% acetone in toluene, the slower moving of the two bands obtained is the title compound (R<sub>f</sub>=0.75). VIS in ether:  $\lambda_{max}$ [nm] (relative intensity) 329 (0.45); 385 (0.39); 527 (0.13); 755 (0.1). <sup>1</sup>H-NMR [ppm]: 9.25, 8.80, 8.70 (each s, 1 H, 5-, 10-, 20-H); 4.55 (q, 1 H, 18-H); 4.45 (d, 1 H, 17-H); 4.10 (q, 2 H, 8-CH<sub>2</sub>CH<sub>3</sub>); 3.85 (s, 3 H, 13<sup>2</sup>-CO<sub>2</sub>CH<sub>3</sub>); 3.7 (d, 1 H, 7-H); 3.6 (q, 3 H, 17<sup>3</sup>-CH<sub>2</sub>CH<sub>3</sub>); 3.50, 3.32 (each s, 3 H, 2-, 12-CH<sub>3</sub>); 3.30 (m, 1 H, 8-H); 3.06 (s, 3 H, 3-COCH<sub>3</sub>); 3.04 (d, 3 H, 7-CH<sub>3</sub>); 2.65 (2 H, 17<sup>1</sup>-H<sub>2</sub>); 2.45 (2 H, 17<sup>2</sup>-H<sub>2</sub>); 1.75 (d, 3 H, 18-CH<sub>3</sub>); 1.65 (t, 3 H, 8 CH<sub>2</sub>CH<sub>3</sub>); 1.38 (t, 3 H, 17<sup>3</sup>-CH<sub>2</sub>CH<sub>3</sub>); 0.10 and -1.90 (s, 2 H, 2 NH). FAB-MS calculated for Pd-C<sub>37</sub>H<sub>40</sub>N<sub>4</sub>O<sub>6</sub>: 742.38 (M+1). Found 742.2 (M+1).



Ethyl and other esters of other acid-stable metal complexes, like Ni, Cu, Zn, of BChl derivatives can be prepared in a similar way.

**Example 8: Preparation of [Pd]-BChl-17<sup>3</sup>-seryl methyl ester [Pd]-BChl-17<sup>3</sup>-L-Ser-OMe ([Pd]-BChl-Ser)**

Enzymatic transesterification of [Pd]-BChl prepared in Example 6 above with L-serine methyl ester hydrochloride (Sigma) was carried out with chlorophyllase acetone powder as described in EP 0584552 producing the title compound, herein designated [Pd]-BChl-Ser, a compound of formula I' herein wherein R'<sub>1</sub> is the seryl methyl ester residue linked to the COO- group through the serine hydroxy group.

By the same enzymatic transesterification procedure, corresponding 17<sup>3</sup>-seryl methyl esters of other metal complexes [M]-Bchl according to the invention can be prepared as well as [M]-Bchl-17<sup>2</sup>-esters with other serine derivatives, e.g. N-trityl-L-serine methyl ester and N-carbobenzoxyseryl serine methyl ester, or with tyrosine derivatives, e.g. N-tert-butoxycarbonyltyrosine methyl ester, as described in EP 0584552.

**Example 9: Phototoxicity *in vitro* of [Pd]-BChl-Ser**

**9a. Bacteria and virus**

The phototoxicity assay consists of three discrete steps: incubation of a bacterial solution with the sensitizer, illumination and assessment of phototoxicity.

Suspensions (~1x10<sup>7</sup> bacteria/200 µl) of fresh *S. aureus* in phosphate-buffered saline (PBS) were incubated with the given concentrations of the sensitizers [Pd]-BChl-Ser or BChl-Ser for 1 hour in the dark and subsequently washed free of the pigment by centrifugation and resuspension in PBS. The washed bacterial suspensions were illuminated for 5 min using as light source a self-built Xenon lamp with vertical emission of 1000 lux/cm<sup>2</sup> at the target level, using a liquid filter (chlorophyll a O. D.= 10.00 at 660 nm). The photodynamic damage was assessed by determination of bacterial survival: samples of the irradiated bacterial suspension (30 µl) were cultured in 3 ml of brain heart infusion (BHI) liquid bacterial culture medium for 2h at 37°C under shaking. Bacterial density was measured by turbidity at λ=660 nm.

Each experiment consisted of (a) one experimental (bacteria submitted to the

complete treatment) and three control groups: (b) bacteria irradiated without sensitizer, (c) unirradiated bacteria treated with sensitizer, and (d) untreated bacteria (100% of survival).

As shown in Fig. 1, the phototoxic effects of [Pd]-BChl-Ser are dose dependent with respect to sensitizer concentrations ( $LD_{50} \sim 0.6 \mu M$ ) and no toxicity was conferred in the dark. Similar results were obtained with BChl-Ser, tested as comparison under the same conditions, with a slightly but insignificantly lower  $LD_{50}$ .

The assay was repeated with *B. subtilis* and *Propionibacterium acnes* and with *Herpes Simplex Virus 1* (HSV-1) both in suspension and in infected cells, and similar results of phototoxicity were obtained (not shown).

#### 9b. Melanoma cells

The assay was conducted as described in Materials and Methods hereinabove, sections (iv) to (viii). Monolayers of M2R cells were incubated with the indicated concentrations of [Pd]-BChl-Ser for 1h and subjected to photodynamic treatment as described above. Photocytotoxicity was assessed by [ $^3H$ ] thymidine incorporation and percent survival of the treated cells and appropriate controls are described in Fig. 2. Survival of untreated cells was taken as 100%.

It can be seen in Fig. 2 that the phototoxic effect was dose dependent with respect to [Pd]-BChl-Ser concentration with an approximate  $LD_{50}$  of  $0.05 \mu M$ . The phototoxic effect was not seen in the dark controls.

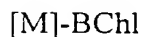
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**CLAIMS**

1. A process for the preparation of synthetic metalated bacteriochlorophyll derivatives of the formula:



wherein

BChl represents the residue of a demetalated natural or synthetic bacteriochlorophyll derivative carrying at position 17<sup>3</sup> a group -COOR<sub>1</sub> wherein R<sub>1</sub> is a C<sub>1</sub> - C<sub>25</sub> hydrocarbyl residue, and

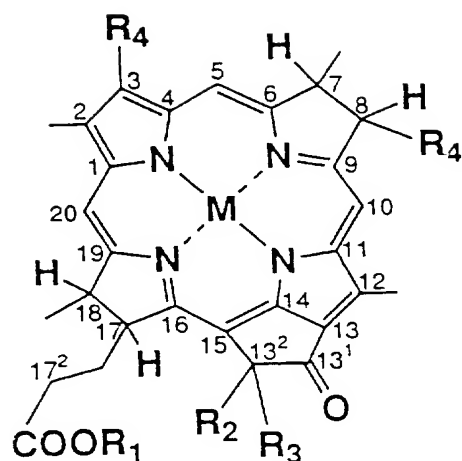
M represents a metal with an ionic radius smaller than that of Cd (r≅95pm), said metal M being selected from the group consisting of a divalent metal selected from the group consisting of Pd, Co, Ni, Cu, Zn and Mn, a trivalent metal selected from the group consisting of Fe, Mn and Cr, and a tetravalent metal selected from the group comprising Sn and Pt, which process comprises:

(i) reacting an appropriate bacteriopheophytin derivative carrying at position 17<sup>3</sup> a group -COOR<sub>1</sub> as defined above, dissolved in dimethyl formamide with dehydrated Cd acetate in Ar atmosphere and recovering the [Cd]-BChl complex from the reaction mixture by chromatography under reducing conditions;

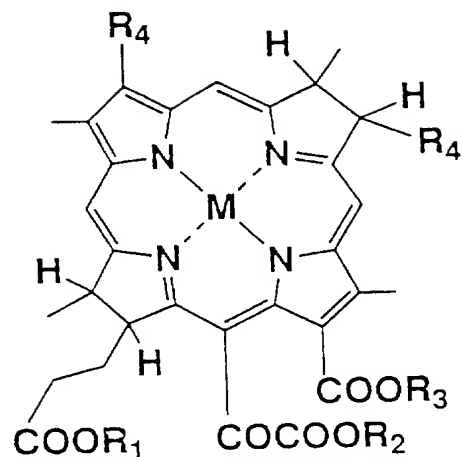
(ii) reacting the thus produced [Cd]-BChl complex dissolved in dry acetone with an appropriate dehydrated metal M salt selected from metal M chloride, acetate and acetyl-acetonate in Ar atmosphere; and

(iii) recovering the desired metalated [M]-BChl derivative from the reaction mixture.

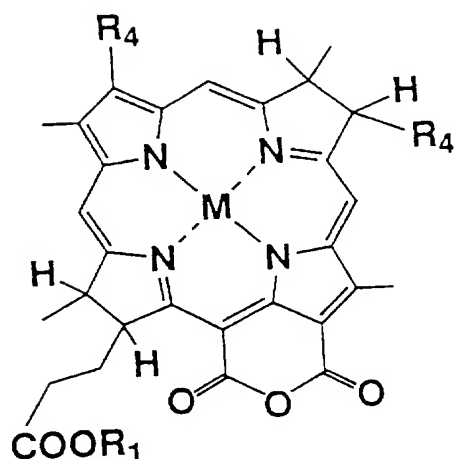
2. A process according to claim wherein the [M]-BChl derivative is selected from a compound of the formula I, II or III:



I



II



III

wherein R<sub>1</sub> is a C<sub>1</sub>-C<sub>25</sub> hydrocarbyl residue;

25 R<sub>2</sub> is H, OH or COOR<sub>5</sub>, wherein R<sub>5</sub> is C<sub>1</sub>-C<sub>12</sub> alkyl or C<sub>3</sub>-C<sub>12</sub> cycloalkyl;

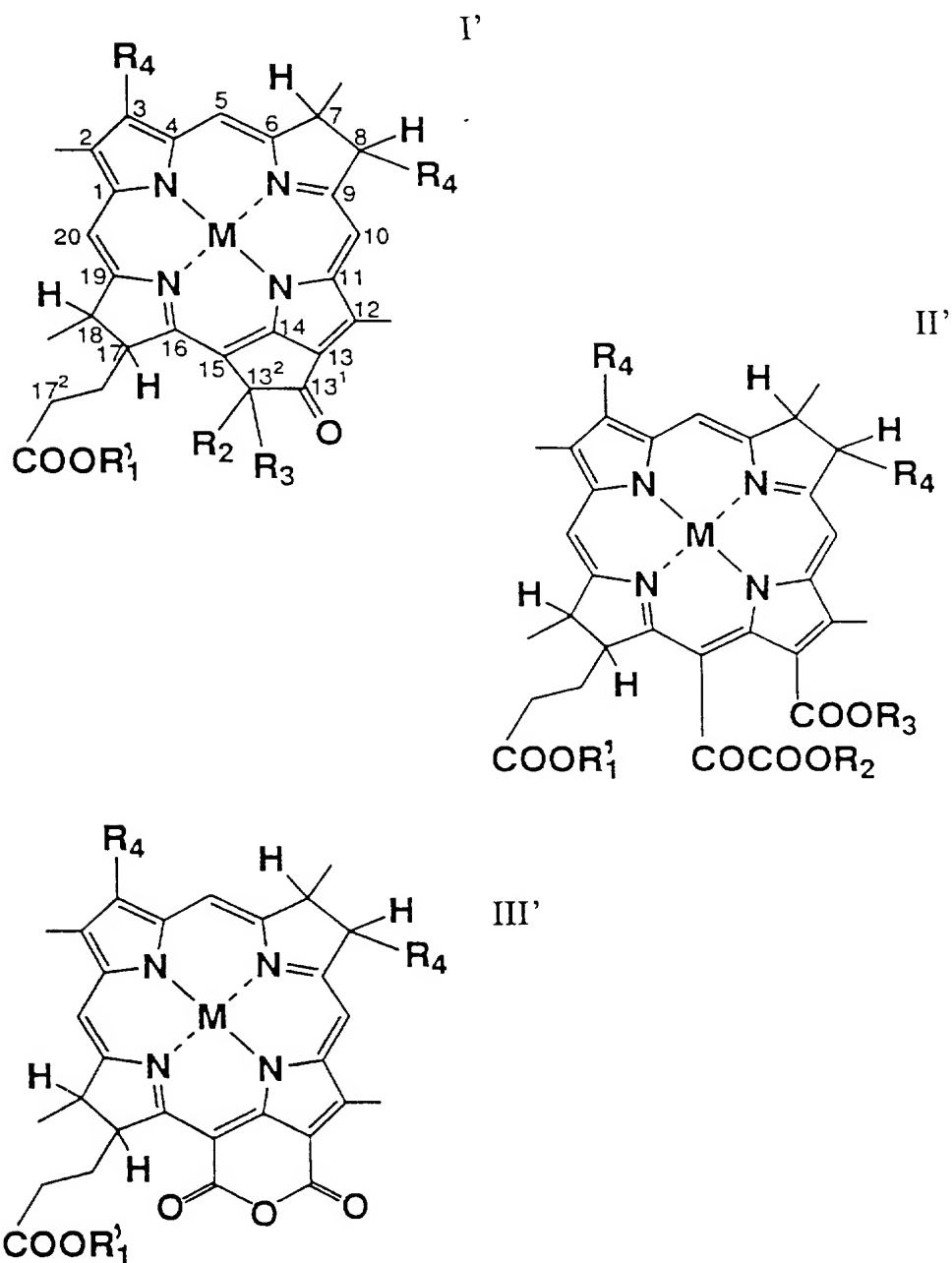
R<sub>3</sub> is H, OH or C<sub>1</sub>-C<sub>12</sub> alkyl or alkoxy;

R<sub>4</sub> is each independently selected from the group consisting of vinyl, ethyl, acetyl, 1-hydroxyethyl and ethers and esters thereof; and

30 M represents a metal with an ionic radius smaller than that of Cd (r≈95pm), said metal M being selected from the group consisting of a divalent metal selected from the group consisting of Pd, Co, Ni, Cu, Zn and Mn, a trivalent metal selected from the group

consisting of Fe, Mn and Cr, and a tetravalent metal selected from the group comprising Sn and Pt.

3. A process according to claim 2 wherein the obtained [M]-BChl derivative of formula I, II or III is further subjected to transesterification at position 17<sup>3</sup>, thus producing a [M]-BChl derivative of the formula I', II' or III':



wherein  $R'_1$  is selected from the group consisting of:

(i) a  $C_1$ - $C_{25}$  hydrocarbyl residue optionally substituted by halogen, OH, oxo, CHO, COOH, or  $NH_2$ , or such a residue interrupted by one or more heteroatoms selected from O, S and NH, or by a phenyl ring;

(ii) a residue of an amino acid or of a peptide containing a hydroxy group or a derivative thereof selected from the group consisting of esters and N-protected derivatives, wherein said hydroxylated amino acid or derivative thereof is linked to the COO- residue through the hydroxy group;

(iii) a residue of a peptide as defined in (ii) linked to the COO- residue via a spacer as defined in (i) wherein said  $C_1$ - $C_{25}$  hydrocarbyl residue optionally substituted by halogen, OH, oxo, CHO, COOH, or  $NH_2$ , or such a residue interrupted by one or more heteroatoms selected from O, S and NH, or by a phenyl ring, is further substituted by an end functional group selected from OH, COOH, or  $NH_2$ ; and

(iv) a residue of a cell-specific ligand selected from a peptide and a protein directly linked to the COO- residue or via a spacer as defined in (i) wherein said  $C_1$ - $C_{25}$  hydrocarbyl residue optionally substituted by halogen, OH, oxo, CHO, COOH, or  $NH_2$ , or interrupted by one or more heteroatoms selected from O, S and NH, or by a phenyl ring, is further substituted by an end functional group selected from OH, COOH, or  $NH_2$ ;

$R_2$  is H, OH or  $COOR_5$ , wherein  $R_5$  is  $C_1$ - $C_{12}$  alkyl or  $C_3$ - $C_{12}$  cycloalkyl;

$R_3$  is H, OH or  $C_1$ - $C_{12}$  alkyl or alkoxy;

$R_4$  is each independently selected from the group consisting of vinyl, ethyl, acetyl, 1-hydroxyethyl and ethers and esters thereof; and

M represents a metal with an ionic radius smaller than that of Cd ( $r \approx 95\text{pm}$ ), said metal M being selected from the group consisting of a divalent metal selected from the group consisting of Pd, Co, Ni, Cu, Zn and Mn, a trivalent metal selected from the group consisting of Fe, Mn and Cr, and a tetravalent metal selected from the group comprising Sn and Pt, which process comprises:

(i) reacting an appropriate bacteriopheophytin derived from a bacteriochlorophyll derivative of formula I, II or III carrying at position 17<sup>3</sup> a group  $-COOR_1$  wherein  $R_1$  is a

C<sub>1</sub>-C<sub>25</sub> hydrocarbyl residue, dissolved in dimethyl formamide, with dehydrated Cd acetate in Ar atmosphere, and recovering the corresponding [Cd]-BChl complex from the reaction mixture by chromatography under reducing conditions;

(ii) reacting the thus produced [Cd]-BChl complex dissolved in dry acetone with an appropriate dehydrated metal M salt selected from metal M chloride, acetate and acetylacetonate in Ar atmosphere; and

(iii) reacting the produced metalated [M]-BChl derivative recovered from the reaction mixture with a compound of the formula R'<sub>1</sub> - OH, under transesterification conditions, to obtain a compound of formula I', II' or III' wherein R'<sub>1</sub> is as defined above.

4. A process according to any one of claims 1 to 3 wherein the [M]-BChl derivative is a [M]-BChl derivative wherein the metal M is Pd, Cu, Ni, Co, Zn or Mn, and BChl is a residue of bacteriochlorophyll a derivative of formula I in claim 2 wherein R<sub>1</sub> is phytyl or geranylgeranyl, R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is H or OH, R<sub>4</sub> at position 3 is acetyl and at position 8 is ethyl.

5. A process according to any one of claims 1 to 4 wherein the metal M salt employed in step (ii) is a metal chloride.

6. A process according to any one of claims 1 to 5 wherein steps (i) and (ii) are combined into one single step, and the bacteriopheophytin derivative is reacted with an excess of the appropriate dehydrated metal M salt in the presence of catalytic amounts of the dehydrated Cd acetate in dimethylformamide or acetone .

7. A metalated bacteriochlorophyll derivative of the formula I', II' or III' as defined in Claim 3, but excluding the compounds of formula I' wherein R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is H, R<sub>4</sub> at position 3 is acetyl and at position 8 is ethyl, R'<sub>1</sub> is phytyl or ethyl and M is Pd, or R'<sub>1</sub> is phytyl and M is Cu.

8. A metalated bacteriochlorophyll derivative according to claim 7 of formula I' wherein R'<sub>1</sub> is phytyl or geranylgeranyl, R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is H, R<sub>4</sub> at position 3 is acetyl and at position 8 is ethyl, and M is Co, Ni, Zn, Cd or Mn.

9. A metalated bacteriochlorophyll derivative according to claim 7 of formula I' wherein R'<sub>1</sub> is phytyl or geranylgeranyl, R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is OH, R<sub>4</sub> at position 3 is acetyl and at position 8 is ethyl, and M is Pd, Co, Ni, Cu, Zn, Cd or Mn.

10. A metalated bacteriochlorophyll derivative according to claim 7 of formula I'



wherein R'<sub>1</sub> is phytyl or geranylgeranyl, R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is H or OH, R<sub>4</sub> at position 3 is vinyl and at position 8 is ethyl, and M is Zn or Cu.

11. A metalated bacteriochlorophyll derivative according to claim 7 of formula I' wherein R'<sub>1</sub> is phytyl or geranylgeranyl, R<sub>2</sub> is H, R<sub>3</sub> is H, R<sub>4</sub> at position 3 is acetyl and at position 8 is ethyl, and M is Zn or Cu

12. A metalated bacteriochlorophyll derivative according to claim 7 of formula I' wherein R'<sub>1</sub> is ethyl, R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is H, R<sub>4</sub> at position 3 is acetyl and at position 8 is ethyl, and M is Ni, Zn or Cu.

13. A metalated bacteriochlorophyll derivative according to claim 7 of formula I' wherein R'<sub>1</sub> is seryl methyl ester, R<sub>2</sub> is COOCH<sub>3</sub>, R<sub>3</sub> is H, R<sub>4</sub> at position 3 is acetyl and at position 8 is ethyl, and M is Pd.

14. A pharmaceutical composition comprising a metalated bacteriochlorophyll of formula I', II' or III' as defined in claim 7 and a pharmaceutically acceptable carrier.

15. A pharmaceutical composition according to claim 14 wherein the metalated bacteriochlorophyll is the compound claimed in claim 13.

16. Use of a metalated bacteriochlorophyll of formula I', II' or III' as defined in claim 7 for the manufacture of a pharmaceutical composition for use in photodynamic therapy.

17. Use a metalated bacteriochlorophyll of formula I', II' or III' as defined in claim 7 for the manufacture of a pharmaceutical composition for diagnosis of tumors.

18. Use a metalated bacteriochlorophyll of formula I', II' or III' as defined in claim 7 for the manufacture of a pharmaceutical composition for killing cells or infectious agents comprising bacteria and viruses.

19. Use according to Claim 17 wherein the pharmaceutical composition is for killing of infectious agents in biological products

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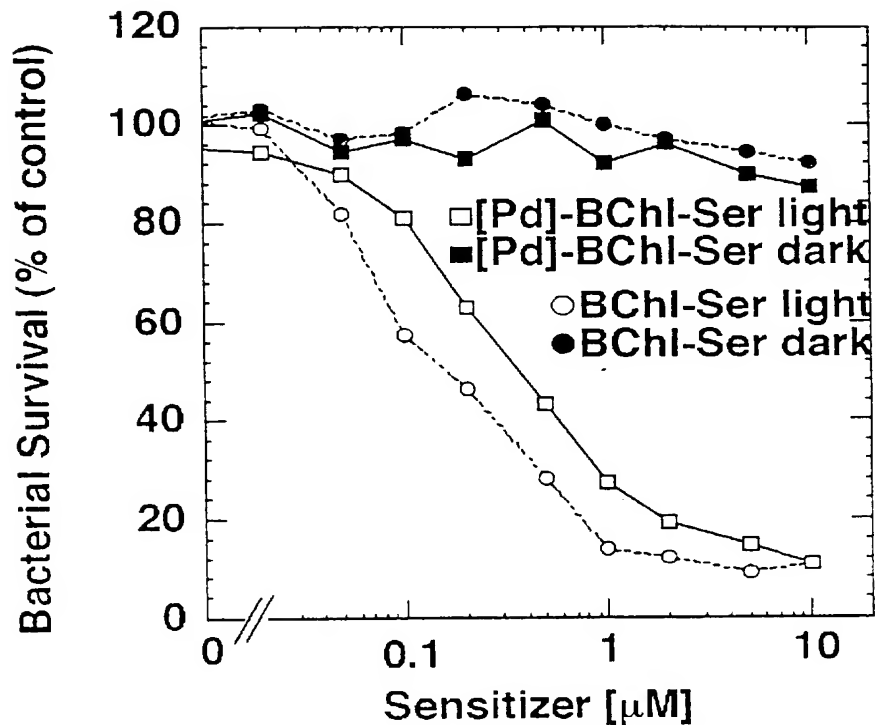


Fig. 1

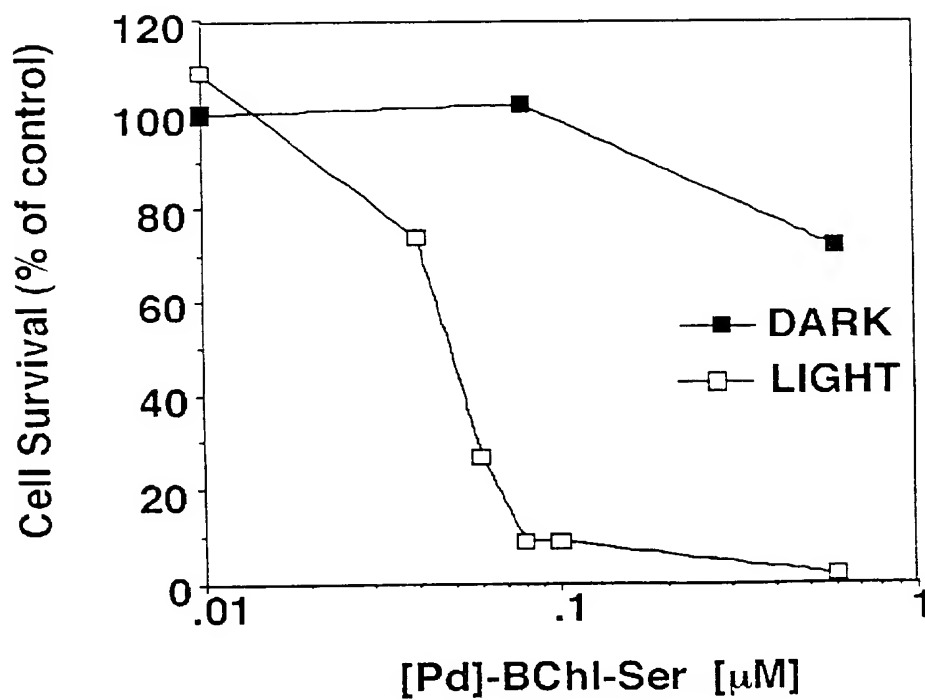


Fig. 2

## INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/IL 96/00161

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C07D487/22 C07D491/22 A61K31/40 A61K31/49  
/(C07D487/22,257:00,209:00,209:00,209:00),(C07D491/22,  
311:00,257:00,209:00,209:00,209:00,209:00)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07D A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol. 117, no. 29, 1995, DC US, pages 7776-7783, XP002028174 C. GESKES ET AL.: "An electrochemical and spectroelectrochemical investigation of metal-substituted bacteriochlorophyll a" see table 1 --- -/--	7



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

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Date of the actual completion of the international search

24 March 1997

Date of mailing of the international search report

03.04.97

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Alfaro Faus, I

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IL 96/00161

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	CHEMICAL ABSTRACTS, vol. 110, no. 9, 1989 Columbus, Ohio, US; abstract no. 72667k, R.J. DONOHUE ET AL.: "Resonance Raman spectra and normal mode descriptions of a bacteriochlorophyll a model complex" page 381; XP002028176 see abstract and 12th collective index, page 26992, column 2, lines 81-87 & PHOTOCHEM. PHOTOBIOLOG. 1988, 48 (4), 531-7, ---	7
P,X	ANGEWANDTE CHEMIE INTERNATIONAL EDITION., vol. 35, no. 7, 1996, WEINHEIM DE, pages 772-774, XP002028175 H. TAMIAKI ET AL.: "Self-assembly of an artificial light-harvesting antenna: energy transfer from a zinc chlorin to a bacteriochlorin in a supramolecular aggregate" see scheme 1, zinc chlorin model 1 ---	7
A	WO 90 12573 A (HEALTH RESEARCH) 1 November 1990 see claim 1 -----	16

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IL 96/00161

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
.WO 9012573 A	01-11-90	US 5171741 A	15-12-92
		AT 149831 T	15-03-97
		AU 645010 B	06-01-94
		AU 5413090 A	16-11-90
		CA 2053268 A	22-10-90
		EP 0468997 A	05-02-92
		JP 4507236 T	17-12-92
		US 5173504 A	22-12-92